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From-HENKEL CORPORATION PATENT DEPT,

6102786548

T-923 P.01/08 F-092

DT19 Rec'd PCT/PTO

24 OCT 2002

P CT #8



25C/

**Henkel Corporation**  
Patent Department

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**TO:**

Name: USPTO  
Location: Initial Patent Examination's  
Filing Receipt Corrections

**FROM:**

Name: Glenn E.J. Murphy  
Location: 2500 Renaissance Blvd  
Gulph Mills, PA

Fax No. 703-746-9195

Date: October 24, 2002

NUMBER OF PAGES 8 INCLUDING THIS COVER PAGE.

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Application of Kleen, et al.

Serial No. 10/088,247  
Art Unit: 1751

Request for Correction of Filing Receipt (2 pages)  
Copy of Filing Receipt (2 pages)  
Copy of Executed Declaration (3 pages)

PATENT

Docket No. H3609 PCT/US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Application of Kleen, et al..

Serial No. 10/088,247

Examiner: To be assigned

Filed: To be assigned

Art Unit: 1751

Title: METHOD FOR COLORING KERATIN FIBERS

**CERTIFICATE OF FACSIMILE TRANSMITTAL**

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office at 703-746-9195.

10/24/02  
DateAmy Alleborn  
Signature of CertifierAmy Alleborn

Typed or printed name of certifier

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

Sir:

We hereby request correction of the Filing Receipt for Serial  
No. 10/088,247.

Please correct the TITLE to read:

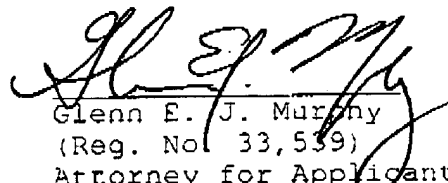
**METHOD FOR COLORING KERATIN FIBERS**

Serial No. 10/088,247  
Docket No. H 3609 PCT/US

It appears from our file that the error is the fault of the patent office; thus, Applicants believe that no fee is due. However, the Commissioner is hereby authorized to charge any fees which may be required to Deposit Account No. 01-1250.

We thank you for your assistance in this matter.

Respectfully submitted,

  
Glenn E. J. Murphy  
(Reg. No. 33,539)  
Attorney for Applicants  
(610) 278-4926

Henkel Corporation  
Law Department  
2500 Renaissance Boulevard, Suite 200  
Gulph Mills, PA 19406

/aa

1. Filing Receipt (copy)
2. Executed Declaration (copy)



cc: Dr. Perle - Kemp  
K. Schueller

Commissioner for Patents  
Washington, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/088,247	07/26/2002	1751	1188	H 3809 PCT/US		19	5

00423  
HENKEL CORPORATION  
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GULPH MILLS, PA 19406

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OCT 15 2002

HENKEL LAW DEPT.

CONFIRMATION NO. 9303

FILING RECEIPT



\*OC000000008921850\*

Date Mailed: 10/09/2002

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Astrid Kleen, Erkrath, GERMANY;  
Andrea Saettler, Duesseeldorf, GERMANY;  
Horst Hoeffkes, Duesseeldorf, GERMANY;  
Ralf Otto, Bad Friedrichshall, GERMANY;

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP00/08923 09/13/2000

Foreign Applications

GERMANY 19945486.8 09/22/1999

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No

Title

Method for coloring keratin fibers by means of at least one enzyme of the transglutaminase type

Preliminary Class  
008

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0010/PTO  
Rev. 6/95U.S. Department of Commerce  
Patent and Trademark Office**DECLARATION FOR  
UTILITY OR DESIGN  
PATENT APPLICATION**☐ Declaration  
Submitted  
with Initial FilingOR ☒ Declaration  
Submitted after  
Initial FilingAttorney Docket  
Number

H 3609 PCT/US

First Named  
Inventor

Kleen, Astrid

**COMPLETE IF KNOWN**

Application Number

10/088,247

Filing Date

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below)  
of the subject matter which is claimed and for which a patent is sought on the invention entitled:**METHOD FOR COLORING KERATIN FIBERS**

(Title of the invention)

The specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

09/13/2000

as United States Application Number or PCT International

Application Number

PCT/EP00/08823

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any  
amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.58.

I hereby claim foreign priority benefits under Title 35, United States Code § 119(e)-(d) or § 365(b) of any foreign application(s) for patent or inventor's  
certificate, or § 365(e) of any PCT International application which designated at least one country other than the United States of America, listed below  
and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application  
having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority		Certified Copy Attached?	
			Not Claimed	YES	NO	
199 45 486.8	DE	09/22/1999	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	X
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			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto

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H 3609 PCT/US

## DECLARATION

Page 2

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365 of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112.1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
	PCT/EP00/08923	09/13/2000	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Firm Name  Customer Number  or label   
OR

☒ List Attorney(s) and/or agent(s) name and registration number below.

Name	Registration Number	Name	Registration Number
Glenn E. J. Murphy	33,539		
Stephen D. Harper	33,243		
Kimberly R. Hild	39,224		
Steven C. Bauman	33,832		

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto

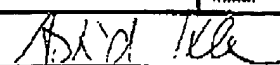
Please direct all correspondence to ☒ Customer Number  or label  00423 OR ☐ Fill in correspondence address below

Name	Kimberly R. Hild						
Address	Henkel Corporation						
Address	2500 Renaissance Blvd, Suite 200						
City	Gulph Mills			State	PA	Zip	19406
Country	USA	Telephone	610-278-4964		Fax	610-278-6548	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name	Astrid	Middle Initial		Family Name	Kleen	Suffix e.g. Jr.	
Inventor's Signature						Date	22.03.02
Residence City	Erkrath	State		Country	Germany	Citizenship	Germany
Post Office Address	Nordstrasse 17						
Post Office Address							
City	40699 Erkrath	State		Zip		Country	Germany
Applicant Authority							

☒ Additional inventors are being named on supplemental sheet(s) attached hereto

Done

Type a plus sign (+) inside this box ☐

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DECLARATION				ADDITIONAL INVENTOR(S) Supplemental Sheet			
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Andrea	Middle Initial		Family Name	Saettler	Suffix e.g. Jr.	
Inventor's Signature	Andrea Saettler			Date	3.4.2002		
Residence: City	Duesseldorf	State		Country	Germany	Citizenship	Germany
Post Office Address		Himmelgeisterstr. 187					
Post Office Address							
City	40225 Duesseldorf	State		Zip		Country	Germany
Applicant Authority							
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Horst	Middle Initial		Family Name	Hoeffkes	Suffix e.g. Jr.	
Inventor's Signature	Horst Hoeffkes			Date	20.3.02		
Residence: City	Duesseldorf	State		Country	Germany	Citizenship	Germany
Post Office Address		Carlo-Schmid-Str. 113					
Post Office Address							
City	40595 Duesseldorf	State		Zip		Country	Germany
Applicant Authority							
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Ralf	Middle Initial		Family Name	Otto	Suffix e.g. Jr.	
Inventor's Signature	Ralf Otto			Date	27.04.02		
Residence: City	Bad Friedrichshall	State		Country	Germany	Citizenship	Germany
Post Office Address		Oedheimer Str. 6					
Post Office Address							
City	74177 Bad Friedrichshall	State		Zip		Country	Germany
Applicant Authority							
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		Zip		Country	
Applicant Authority							
<input type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto							